

Audit and Risk Committee

27 November 2023

Time 2.00 pm **Public Meeting?** YES **Type of meeting** Regulatory

Venue Committee Room 3 - Civic Centre, St Peter's Square, Wolverhampton WV1 1SH

Membership

Chair Cllr Jaspreet Jaspal (Lab)

Vice-chair Cllr Mary Bateman (Lab)

Labour

Cllr Philip Bateman MBE

Cllr Claire Darke

Cllr Jeszemma Howl

Cllr Barbara McGarrity QN

Independent Member

Mr Mike Ager

Mr Armstrong Ngoh

Conservative

Cllr Paul Appleby

Cllr Andrew McNeil

Quorum for this meeting is two Councillors.

Information for the Public

If you have any queries about this meeting, please contact the Democratic Services team:

Contact Fabrica Hastings

Tel/Email Tel: 01902 552699 or Fabrica.Hastings2@wolverhampton.gov.uk

Address Democratic Services, Civic Centre, 1st floor, St Peter's Square,
Wolverhampton WV1 1RL

Copies of other agendas and reports are available from:

Website <https://wolverhamptoninternet.moderngov.co.uk>

Email democratic.services@wolverhampton.gov.uk

Tel 01902 550320

Please take note of the protocol for filming, recording and use of social media in meetings, copies of which are displayed in the meeting room.

Some items are discussed in private because of their confidential or commercial nature. These reports are not available to the public.

Agenda

Part 1 – items open to the press and public

Item No. *Title*

MEETING BUSINESS ITEMS

- 1 **Apologies for absence**
- 2 **Declaration of interests**
- 3 **Minutes of previous meeting** (Pages 5 - 10)
[For approval]
- 4 **Matters arising**
[To consider any matters arising from the minutes]

DECISION ITEMS

- 5 **Strategic Risk Register** (Pages 11 - 56)
[To receive an update on the strategic risk register.]
- 6 **Internal Audit Update Report** (Pages 57 - 68)
[To receive the internal audit update.]
- 7 **Mid-Year Annual Governance Statement** (Pages 69 - 96)
[To receive an update on the mid-year annual governance statement.]
- 8 **Grant Thornton Audit Plan** (Pages 97 - 122)
[To receive an update on the Grant Thornton audit plan.]
- 9 **CIPFA Audit Committee Updates** (Pages 123 - 126)
[To receive the CIPFA audit committee updates.]
- 10 **Audit Services - Counter Fraud Update** (Pages 127 - 138)
[To receive the audit services – counter fraud update.]
- 11 **Review of Fraud Related Policies and Procedures** (Pages 139 - 158)
[To receive an update on the review of fraud related policies and procedures.]

- 12 **Exclusion of press and public**
[To pass the following resolution:

That, in accordance with section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business as they involve the likely disclosure of exempt information falling within paragraph 3 of Schedule 12A to the Act.]

PART 2 - EXEMPT ITEMS, CLOSED TO PRESS AND PUBLIC

- 13 **Audit Investigations Report** (Pages 159 - 162)

[To receive an update on the audit investigations report.]

Information relating to any individual.

Information which is likely to reveal the identity of an individual.

Information relating to the financial or business affairs of any particular person (including the authority holding that information) Para (1, 2, 3)